

	Expense Ratios <sup>1</sup>		Performance (%)	1 Month	3 Month	YTD	1 Year	3 Year	5 Year	10 Year	Since Inception
	Net	Gross									
<b>AlphaDEX® Sector Funds</b>											
<b>First Trust Consumer Discretionary AlphaDEX® Fund</b>	0.64%	0.64%	Net Asset Value	1.63	-6.14	-1.73	14.04	9.26	8.86	13.31	7.87
Symbol: FXD			Market Price	1.61	-6.14	-1.78	14.12	9.29	8.86	13.23	7.87
Inception Date: 5/8/07			Index	1.67	-6.03	-1.19	14.78	9.96	9.57	14.12	8.64
<b>First Trust Consumer Staples AlphaDEX® Fund</b>	0.64%	0.64%	Net Asset Value	0.58	-0.83	-1.60	5.02	5.64	9.14	12.12	9.30
Symbol: FXG			Market Price	0.45	-1.12	-1.92	5.05	5.64	9.12	11.61	9.30
Inception Date: 5/8/07			Index	0.63	-0.69	-0.97	5.77	6.34	9.85	12.95	10.10
<b>First Trust Energy AlphaDEX® Fund</b>	0.63%	0.63%	Net Asset Value	-6.97	-17.38	-8.95	23.08	7.98	-3.99	0.43	-0.26
Symbol: FXN			Market Price	-7.24	-17.61	-9.21	23.08	7.98	-4.01	0.74	-0.26
Inception Date: 5/8/07			Index	-7.10	-17.38	-8.53	24.01	8.65	-3.49	1.06	0.36
<b>First Trust Financials AlphaDEX® Fund</b>	0.63%	0.63%	Net Asset Value	2.14	-6.77	-1.37	9.95	14.45	11.91	11.78	5.96
Symbol: FXO			Market Price	1.98	-6.90	-1.56	9.95	14.45	11.90	11.48	5.96
Inception Date: 5/8/07			Index	2.20	-6.65	-0.80	10.66	15.20	12.67	12.65	6.83
<b>First Trust Health Care AlphaDEX® Fund</b>	0.63%	0.63%	Net Asset Value	2.95	-5.73	12.03	25.78	13.37	14.40	17.01	13.58
Symbol: FXH			Market Price	2.84	-5.81	11.91	25.91	13.42	14.41	16.79	13.59
Inception Date: 5/8/07			Index	3.01	-5.59	12.72	26.64	14.10	15.14	17.83	14.40
<b>First Trust Industrials/Producer Durables AlphaDEX® Fund</b>	0.62%	0.62%	Net Asset Value	6.57	-7.47	-2.75	14.82	18.75	11.93	12.07	7.62
Symbol: FXR			Market Price	6.41	-7.61	-2.93	14.82	18.75	11.92	12.03	7.62
Inception Date: 5/8/07			Index	6.57	-7.38	-2.26	15.54	19.63	12.71	12.89	8.41
<b>First Trust Materials AlphaDEX® Fund</b>	0.64%	0.64%	Net Asset Value	3.86	-10.92	-13.50	3.63	16.74	8.72	10.95	8.02
Symbol: FXZ			Market Price	3.70	-11.15	-13.73	3.68	16.75	8.71	10.53	8.02
Inception Date: 5/8/07			Index	3.92	-10.79	-12.97	4.35	17.55	9.46	11.79	8.82
<b>First Trust Technology AlphaDEX® Fund</b>	0.63%	0.63%	Net Asset Value	2.44	-10.19	10.73	32.71	26.91	19.16	15.88	11.01
Symbol: FXL			Market Price	2.20	-10.44	10.37	32.73	26.95	19.15	15.70	11.01
Inception Date: 5/8/07			Index	2.47	-10.09	11.34	33.57	27.60	19.86	16.68	11.84
<b>First Trust Utilities AlphaDEX® Fund</b>	0.63%	0.63%	Net Asset Value	3.91	4.75	12.16	5.40	10.06	9.96	9.52	6.11
Symbol: FXU			Market Price	3.62	4.53	11.96	5.44	10.06	9.96	9.48	6.10
Inception Date: 5/8/07			Index	3.95	5.01	13.04	6.24	10.87	10.77	10.43	6.99
<b>Sector Funds</b>											
<b>First Trust Nasdaq Retail ETF</b>	N/A	0.60%	Net Asset Value	-3.26	-7.10	6.97	24.86	N/A	N/A	N/A	12.11
Symbol: FTXD			Market Price	-3.38	-7.13	7.11	25.08	N/A	N/A	N/A	12.18
Inception Date: 9/20/16			Index	-3.20	-6.98	7.61	25.73	N/A	N/A	N/A	12.83
<b>First Trust Nasdaq Food &amp; Beverage ETF</b>	N/A	0.60%	Net Asset Value	1.94	0.98	-3.53	-0.10	N/A	N/A	N/A	0.08
Symbol: FTXG			Market Price	1.68	1.14	-3.91	-0.61	N/A	N/A	N/A	-0.12
Inception Date: 9/20/16			Index	1.99	1.12	-3.00	0.51	N/A	N/A	N/A	0.71
<b>First Trust Natural Gas ETF</b>	0.60%	0.61%	Net Asset Value	-7.66	-19.99	-20.36	3.99	-5.60	-23.62	-12.92	-11.43
Symbol: FCG			Market Price	-7.87	-20.20	-20.54	4.03	-5.65	-23.62	-12.86	-11.43
Inception Date: 5/8/07			Index	-7.91	-20.12	-20.15	4.61	-5.22	-23.60	-12.60	-11.08
<b>First Trust Nasdaq Oil &amp; Gas ETF</b>	N/A	0.60%	Net Asset Value	-7.04	-20.48	-5.05	34.04	N/A	N/A	N/A	13.80
Symbol: FTXN			Market Price	-7.00	-20.51	-5.23	34.15	N/A	N/A	N/A	13.84
Inception Date: 9/20/16			Index	-6.99	-20.35	-4.44	35.07	N/A	N/A	N/A	14.57
<b>First Trust NASDAQ® ABA Community Bank Index Fund</b>	0.60%	0.60%	Net Asset Value	3.88	-10.51	-2.89	2.75	13.37	12.31	N/A	12.78
Symbol: QABA			Market Price	3.50	-11.05	-3.40	2.67	13.35	12.26	N/A	12.77
Inception Date: 6/29/09			Index	3.90	-10.41	-2.41	3.35	14.07	13.01	N/A	13.50
<b>First Trust Nasdaq Bank ETF</b>	N/A	0.60%	Net Asset Value	2.70	-8.56	-6.96	2.48	N/A	N/A	N/A	19.23
Symbol: FTXO			Market Price	2.78	-8.52	-7.08	2.48	N/A	N/A	N/A	19.23
Inception Date: 9/20/16			Index	2.76	-8.47	-6.44	3.17	N/A	N/A	N/A	20.05
<b>First Trust Nasdaq Pharmaceuticals ETF</b>	N/A	0.60%	Net Asset Value	0.78	-7.37	3.67	18.11	N/A	N/A	N/A	9.55
Symbol: FTXH			Market Price	0.60	-8.00	2.96	18.16	N/A	N/A	N/A	9.57
Inception Date: 9/20/16			Index	0.82	-7.25	4.29	18.90	N/A	N/A	N/A	10.31
							<i>Returns as of 11/30/18</i>				
									<i>Returns as of 9/28/18</i>		

Performance data quoted represents past performance. Past performance is not a guarantee of future results and current performance may be higher or lower than performance quoted. Investment returns and principal value will fluctuate and shares when sold or redeemed, may be worth more or less than their original cost. You can obtain performance information which is current through the most recent month-end by visiting [www.ftportfolios.com](http://www.ftportfolios.com).

	Expense Ratios <sup>1</sup>		Performance (%)	1 Month	3 Month	YTD	1 Year	3 Year	5 Year	10 Year	Since Inception
	Net	Gross									
<b>Sector Funds continued</b>											
<b>First Trust NYSE Arca Biotechnology Index Fund</b>	0.56%	0.56%	Net Asset Value	4.70	-10.91	12.46	27.79	16.03	19.65	20.83	18.49
Symbol: FBT			Market Price	4.42	-11.11	12.21	27.85	16.08	19.63	20.73	18.49
Inception Date: 6/19/06			Index	4.75	-10.79	12.94	28.39	16.62	20.16	21.45	19.12
<b>First Trust Nasdaq Transportation ETF</b>	N/A	0.60%	Net Asset Value	6.96	-0.61	-1.47	6.62	N/A	N/A	N/A	14.18
Symbol: FTXR			Market Price	6.79	-0.80	-1.71	6.62	N/A	N/A	N/A	14.16
Inception Date: 9/20/16			Index	7.01	-0.46	-0.94	7.29	N/A	N/A	N/A	14.94
<b>First Trust RBA American Industrial Renaissance® ETF</b>	N/A	0.70%	Net Asset Value	3.45	-10.49	-8.01	7.56	22.54	N/A	N/A	7.96
Symbol: AIRR			Market Price	2.92	-10.88	-8.48	7.59	22.65	N/A	N/A	7.98
Inception Date: 3/10/14			Index	3.51	-10.31	-7.38	8.34	23.45	N/A	N/A	8.82
<b>First Trust Indxx Global Agriculture ETF<sup>2</sup></b>	N/A	0.70%	Net Asset Value	1.74	-9.36	-14.07	-1.54	0.48	-15.05	N/A	-17.43
Symbol: FTAG			Market Price	1.71	-9.84	-14.25	-1.55	0.36	-15.08	N/A	-17.44
Inception Date: 3/11/10			Index	1.73	-9.41	-13.36	-0.40	11.53	N/A	N/A	N/A
<b>First Trust Indxx Global Natural Resources Income ETF<sup>2</sup></b>	N/A	0.70%	Net Asset Value	-3.43	-6.50	-4.85	11.12	8.74	-8.00	N/A	-7.09
Symbol: FTRI			Market Price	-2.76	-6.46	-3.81	10.86	8.55	-7.97	N/A	-7.11
Inception Date: 3/11/10			Index	-3.43	-6.42	-3.96	12.36	12.90	N/A	N/A	N/A
<b>First Trust FTSE EPRA/NAREIT Developed Markets Real Estate Index Fund</b>	0.60%	0.70%	Net Asset Value	3.73	-2.12	0.30	3.99	6.36	5.58	5.88	2.52
Symbol: FFR			Market Price	3.69	-2.17	0.57	3.58	6.36	5.52	5.98	2.49
Inception Date: 8/27/07			Index	3.77	-2.07	0.72	4.62	7.16	6.34	6.88	3.37
<b>First Trust Heitman Global Prime Real Estate ETF</b>	N/A	0.95%	Net Asset Value	2.77	-2.55	-0.30	4.62	N/A	N/A	N/A	4.10
Symbol: PRME			Market Price	1.51	-8.22	-0.71	10.06	N/A	N/A	N/A	5.73
Inception Date: 11/11/15											
<b>First Trust S&amp;P REIT Index Fund<sup>2</sup></b>	0.48%	0.48%	Net Asset Value	4.83	-0.64	4.28	3.31	6.87	8.43	6.84	4.07
Symbol: FRI			Market Price	4.34	-1.06	3.89	3.26	6.84	8.39	6.53	4.06
Inception Date: 5/8/07			Index	4.85	-0.55	4.73	3.84	7.45	9.00	7.41	N/A
<b>First Trust Cloud Computing ETF</b>	N/A	0.60%	Net Asset Value	-0.17	-8.40	13.45	35.80	26.89	18.54	N/A	15.62
Symbol: SKYY			Market Price	-0.40	-8.66	13.11	35.94	26.94	18.55	N/A	15.63
Inception Date: 7/5/11			Index	-0.13	-8.27	14.13	36.71	27.37	19.10	N/A	16.21
<b>First Trust Dow Jones Internet Index Fund</b>	0.53%	0.53%	Net Asset Value	0.25	-13.29	15.04	38.34	28.90	21.40	22.39	17.32
Symbol: FDN			Market Price	-0.11	-13.64	14.55	38.33	28.93	21.38	22.34	17.32
Inception Date: 6/19/06			Index	0.29	-13.17	15.58	39.04	29.57	22.01	23.05	17.94
<b>First Trust Indxx Innovative Transaction &amp; Process ETF</b>	N/A	0.65%	Net Asset Value	0.69	-8.56	N/A	N/A	N/A	N/A	N/A	1.87
Symbol: LEGR			Market Price	0.22	-8.92	N/A	N/A	N/A	N/A	N/A	2.13
Inception Date: 1/24/18			Index	0.72	-8.42	N/A	N/A	N/A	N/A	N/A	2.57
<b>First Trust NASDAQ-100-Technology Sector Index Fund</b>	0.58%	0.58%	Net Asset Value	3.69	-8.51	3.69	17.42	27.67	20.88	18.06	12.39
Symbol: QTEC			Market Price	3.02	-9.13	2.91	17.45	27.79	20.87	17.99	12.39
Inception Date: 4/19/06			Index	3.74	-8.38	4.25	18.09	28.49	21.65	18.82	13.10
<b>First Trust Nasdaq Artificial Intelligence and Robotics ETF</b>	N/A	0.65%	Net Asset Value	1.34	-11.53	N/A	N/A	N/A	N/A	N/A	8.15
Symbol: ROBT			Market Price	1.23	-11.63	N/A	N/A	N/A	N/A	N/A	8.21
Inception Date: 2/21/18			Index	1.36	-11.56	N/A	N/A	N/A	N/A	N/A	8.58
<b>First Trust Nasdaq Cybersecurity ETF</b>	N/A	0.60%	Net Asset Value	1.96	-11.01	10.51	30.49	19.07	N/A	N/A	12.11
Symbol: CIBR			Market Price	1.97	-11.24	10.05	30.93	19.19	N/A	N/A	12.19
Inception Date: 7/6/15			Index	2.02	-10.89	11.18	31.32	19.93	N/A	N/A	12.90
<b>First Trust Nasdaq Semiconductor ETF</b>	N/A	0.60%	Net Asset Value	6.63	-13.00	-4.52	8.33	N/A	N/A	N/A	24.02
Symbol: FTXL			Market Price	5.66	-13.78	-5.58	8.22	N/A	N/A	N/A	23.98
Inception Date: 9/20/16			Index	6.69	-12.86	-3.99	8.99	N/A	N/A	N/A	24.84
<b>First Trust Nasdaq Smartphone Index Fund</b>	N/A	0.70%	Net Asset Value	2.95	-8.21	-10.90	0.20	14.01	10.49	N/A	8.08
Symbol: FONE			Market Price	2.93	-8.24	-11.16	-0.30	14.01	10.44	N/A	8.01
Inception Date: 2/17/11			Index	3.02	-8.30	-10.27	1.22	15.12	11.51	N/A	9.08

Returns as of 11/30/18

Returns as of 9/28/18

	Expense Ratios <sup>1</sup>		Performance (%)	1 Month	3 Month	YTD	1 Year	3 Year	5 Year	10 Year	Since Inception
	Net	Gross									
<b>AlphaDEX® Size/Style Funds</b>											
<b>First Trust Mega Cap AlphaDEX® Fund<sup>2</sup></b>	N/A	0.70%	Net Asset Value	2.18	-2.58	7.57	18.30	16.06	11.60	N/A	10.42
Symbol: FMK			Market Price	1.98	-2.82	7.20	18.38	16.07	11.61	N/A	10.42
Inception Date: 5/11/11			Index	2.24	-2.39	8.30	19.16	N/A	N/A	N/A	N/A
<b>First Trust Large Cap Core AlphaDEX® Fund<sup>2</sup></b>	0.61%	0.61%	Net Asset Value	1.73	-7.00	0.03	14.94	15.70	12.11	12.08	7.92
Symbol: FEX			Market Price	1.65	-7.14	-0.19	14.99	15.71	12.10	12.11	7.92
Inception Date: 5/8/07			Index	1.79	-6.86	0.62	15.67	N/A	N/A	N/A	N/A
<b>First Trust Large Cap Growth AlphaDEX® Fund<sup>2</sup></b>	0.61%	0.61%	Net Asset Value	1.06	-10.21	3.33	22.70	16.15	14.25	12.43	8.44
Symbol: FTC			Market Price	1.03	-10.23	3.13	22.81	16.17	14.27	12.38	8.44
Inception Date: 5/8/07			Index	1.11	-10.07	3.94	23.48	N/A	N/A	N/A	N/A
<b>First Trust Large Cap Value AlphaDEX® Fund<sup>2</sup></b>	0.61%	0.61%	Net Asset Value	2.27	-4.24	-3.21	7.78	14.75	10.01	11.38	7.15
Symbol: FTA			Market Price	2.18	-4.35	-3.45	7.75	14.77	10.00	11.35	7.16
Inception Date: 5/8/07			Index	2.32	-4.10	-2.67	8.47	N/A	N/A	N/A	N/A
<b>First Trust Mid Cap Core AlphaDEX® Fund<sup>2</sup></b>	0.62%	0.62%	Net Asset Value	1.99	-9.63	0.49	16.63	15.28	10.33	12.39	8.78
Symbol: FNX			Market Price	1.75	-9.85	0.25	16.81	15.30	10.34	12.38	8.79
Inception Date: 5/8/07			Index	2.03	-9.52	1.04	17.38	N/A	N/A	N/A	N/A
<b>First Trust Mid Cap Growth AlphaDEX® Fund<sup>2</sup></b>	N/A	0.70%	Net Asset Value	0.91	-12.57	4.70	26.50	18.45	13.03	N/A	12.14
Symbol: FNY			Market Price	0.74	-12.78	4.42	26.61	18.48	13.03	N/A	12.15
Inception Date: 4/19/11			Index	0.97	-12.43	5.37	27.40	N/A	N/A	N/A	N/A
<b>First Trust Mid Cap Value AlphaDEX® Fund<sup>2</sup></b>	N/A	0.70%	Net Asset Value	2.84	-7.13	-3.10	8.30	12.36	7.85	N/A	9.76
Symbol: FNK			Market Price	2.42	-7.43	-3.38	8.30	12.35	7.83	N/A	9.76
Inception Date: 4/19/11			Index	2.87	-7.02	-2.50	9.11	N/A	N/A	N/A	N/A
<b>First Trust Small Cap Core AlphaDEX® Fund<sup>2</sup></b>	0.66%	0.66%	Net Asset Value	1.39	-11.72	1.98	17.96	17.33	10.30	11.87	8.21
Symbol: FYX			Market Price	1.03	-12.09	1.47	17.92	17.33	10.27	11.79	8.21
Inception Date: 5/8/07			Index	1.41	-11.62	2.51	18.68	N/A	N/A	N/A	N/A
<b>First Trust Small Cap Growth AlphaDEX® Fund<sup>2</sup></b>	N/A	0.70%	Net Asset Value	0.99	-13.94	7.36	27.07	21.69	13.82	N/A	13.88
Symbol: FYC			Market Price	0.55	-14.29	6.80	27.05	21.70	13.83	N/A	13.88
Inception Date: 4/19/11			Index	1.02	-13.80	8.02	28.06	N/A	N/A	N/A	N/A
<b>First Trust Small Cap Value AlphaDEX® Fund<sup>2</sup></b>	N/A	0.76%	Net Asset Value	1.71	-9.90	-2.55	10.55	13.70	7.39	N/A	10.38
Symbol: FYT			Market Price	1.28	-10.26	-2.96	10.61	13.73	7.38	N/A	10.39
Inception Date: 4/19/11			Index	1.76	-9.77	-1.94	11.27	N/A	N/A	N/A	N/A
<b>First Trust Multi Cap Growth AlphaDEX® Fund<sup>2</sup></b>	0.69%	0.69%	Net Asset Value	1.01	-11.65	4.62	24.75	17.95	13.82	12.66	8.99
Symbol: FAD			Market Price	0.83	-11.79	4.43	24.77	17.95	13.82	12.63	8.99
Inception Date: 5/8/07			Index	1.05	-11.54	5.25	25.65	N/A	N/A	N/A	N/A
<b>First Trust Multi Cap Value AlphaDEX® Fund<sup>2</sup></b>	0.71%	0.71%	Net Asset Value	2.33	-6.26	-2.98	8.54	13.86	8.86	11.43	7.38
Symbol: FAB			Market Price	2.22	-6.42	-3.21	8.56	13.74	8.85	11.36	7.37
Inception Date: 5/8/07			Index	2.37	-6.11	-2.37	9.32	N/A	N/A	N/A	N/A
<b>First Trust Total US Market AlphaDEX® ETF<sup>2</sup></b>	0.70%	1.69%	Net Asset Value	1.63	-9.02	0.97	16.77	15.70	9.89	9.36	6.37
Symbol: TUSA			Market Price	1.11	-9.16	0.66	16.97	15.72	9.91	9.34	6.38
Inception Date: 12/5/06			Index	1.66	-8.92	1.59	17.63	16.59	N/A	N/A	N/A
<b>Size/Style Funds</b>											
<b>First Trust Capital Strength ETF<sup>2</sup></b>	0.61%	0.61%	Net Asset Value	2.43	-2.67	4.90	17.83	16.96	14.28	12.90	10.31
Symbol: FTCS			Market Price	2.20	-2.87	4.46	17.85	16.97	14.26	12.94	10.31
Inception Date: 7/6/06			Index	2.50	-2.52	5.50	18.58	17.71	15.03	N/A	N/A
<b>First Trust Dow 30 Equal Weight ETF</b>	N/A	0.50%	Net Asset Value	3.44	1.05	7.98	18.79	N/A	N/A	N/A	17.90
Symbol: EDOW			Market Price	2.95	0.71	7.41	18.94	N/A	N/A	N/A	18.03
Inception Date: 8/8/17			Index	3.52	1.20	8.50	19.44	N/A	N/A	N/A	18.48
<b>First Trust Dow Jones Select MicroCap Index Fund</b>	0.60%	0.71%	Net Asset Value	-1.49	-13.11	-2.51	11.51	18.70	13.27	11.15	8.45
Symbol: FDM			Market Price	-1.68	-13.32	-2.99	11.37	18.71	13.26	11.17	8.45
Inception Date: 9/27/05			Index	-1.44	-12.99	-1.96	12.16	19.39	13.94	11.87	9.17
<b>First Trust Horizon Managed Volatility Domestic ETF</b>	N/A	0.70%	Net Asset Value	4.54	1.04	5.92	9.86	N/A	N/A	N/A	10.65
Symbol: HUSV			Market Price	4.15	0.79	5.66	9.76	N/A	N/A	N/A	10.65
Inception Date: 8/24/16											
<b>Large Cap US Equity Select ETF</b>	N/A	0.60%	Net Asset Value	2.60	-4.85	1.46	13.53	N/A	N/A	N/A	13.27
Symbol: RNLG			Market Price	2.31	-5.15	1.08	13.51	N/A	N/A	N/A	13.35
Inception Date: 6/20/17			Index	2.59	-4.73	1.98	14.20	N/A	N/A	N/A	13.96
<b>Mid Cap US Equity Select ETF</b>	N/A	0.60%	Net Asset Value	3.36	-7.25	-0.79	10.78	N/A	N/A	N/A	11.58
Symbol: RNMC			Market Price	3.21	-7.42	-0.93	10.87	N/A	N/A	N/A	11.69
Inception Date: 6/20/17			Index	3.41	-7.12	-0.21	11.51	N/A	N/A	N/A	12.27
<b>Small Cap US Equity Select ETF</b>	N/A	0.60%	Net Asset Value	2.64	-8.95	1.18	11.73	N/A	N/A	N/A	14.41
Symbol: RNSC			Market Price	2.25	-9.27	0.94	11.97	N/A	N/A	N/A	14.64
Inception Date: 6/20/17			Index	2.69	-8.84	1.76	12.50	N/A	N/A	N/A	15.20

Returns as of 11/30/18

Returns as of 9/28/18

Performance data quoted represents past performance. Past performance is not a guarantee of future results and current performance may be higher or lower than performance quoted. Investment returns and principal value will fluctuate and shares when sold or redeemed, may be worth more or less than their original cost. You can obtain performance information which is current through the most recent month-end by visiting [www.ftportfolios.com](http://www.ftportfolios.com).

	Expense Ratios <sup>1</sup>		Performance (%)	1 Month	3 Month	YTD	1 Year	3 Year	5 Year	10 Year	Since Inception
	Net	Gross									
<b>Equity Income Funds</b>											
<b>First Trust Dorsey Wright Momentum &amp; Dividend ETF<sup>2</sup></b> Symbol: DDIV Inception Date: 3/10/14	N/A	0.60%	Net Asset Value Market Price Index	0.82 0.69 0.84	-7.19 -7.35 -7.93	-5.89 -5.82 N/A	5.10 4.88 N/A	10.45 10.64 N/A	N/A N/A N/A	N/A N/A N/A	7.83 7.82 N/A
<b>First Trust Dow Jones Global Select Dividend Index Fund</b> Symbol: FGD Inception Date: 11/21/07	0.58%	0.58%	Net Asset Value Market Price Index	0.51 0.85 0.45	-4.43 -3.53 -4.64	-6.73 -6.49 -6.88	2.26 1.71 2.33	9.89 9.90 10.20	4.25 4.14 4.37	7.24 7.37 7.52	3.24 3.20 3.39
<b>First Trust Morningstar Dividend Leaders Index Fund</b> Symbol: FDL Inception Date: 3/9/06	0.45%	0.47%	Net Asset Value Market Price Index	4.02 3.71 4.06	2.90 2.52 3.02	2.52 2.04 2.98	6.39 6.46 6.91	14.10 14.09 14.68	11.12 11.11 11.68	10.33 10.12 10.90	7.18 7.18 7.71
<b>First Trust Rising Dividend Achievers ETF</b> Symbol: RDVY Inception Date: 1/6/14	N/A	0.50%	Net Asset Value Market Price Index	1.78 1.61 1.81	-5.76 -5.97 -5.67	0.64 0.37 1.08	14.78 14.72 15.39	17.91 17.93 18.57	N/A N/A N/A	N/A N/A N/A	12.23 12.24 12.82
<b>First Trust NASDAQ Technology Dividend Index Fund</b> Symbol: TDIV Inception Date: 8/13/12	N/A	0.50%	Net Asset Value Market Price Index	1.87 1.50 1.92	-5.19 -5.62 -5.04	4.38 3.88 4.97	21.37 21.34 22.16	19.50 19.56 20.26	14.12 14.10 14.85	N/A N/A N/A	14.20 14.19 14.94
<b>First Trust North American Energy Infrastructure Fund</b> Symbol: EMLP Inception Date: 6/20/12	N/A	0.95%	Net Asset Value Market Price Index	3.14 3.18 N/A	-1.88 -1.97 N/A	-1.70 -1.94 N/A	-1.60 -1.48 N/A	6.50 6.56 N/A	4.38 4.38 N/A	N/A N/A N/A	6.30 6.32 N/A
<b>First Trust S&amp;P International Dividend Aristocrats ETF<sup>2</sup></b> Symbol: FID Inception Date: 8/22/13	N/A	0.60%	Net Asset Value Market Price Index	2.03 1.79 2.00	-3.49 -3.38 -3.29	-8.21 -8.62 N/A	-2.35 -2.83 N/A	7.80 7.59 N/A	1.49 1.45 N/A	N/A N/A N/A	2.21 2.20 N/A
<b>First Trust SMID Cap Rising Dividend Achievers ETF</b> Symbol: SDVY Inception Date: 11/1/17	N/A	0.60%	Net Asset Value Market Price Index	3.29 3.03 3.30	-7.66 -7.72 -7.56	-2.84 -3.09 -2.30	N/A N/A N/A	N/A N/A N/A	N/A N/A N/A	N/A N/A N/A	7.92 7.92 8.62
<b>First Trust STOXX® European Select Dividend Index Fund</b> Symbol: FDD Inception Date: 8/27/07	0.60%	0.60%	Net Asset Value Market Price Index	0.56 0.88 0.63	-3.77 -3.70 -3.87	-4.93 -4.63 -4.88	0.74 0.38 1.08	7.57 7.54 8.02	4.49 4.34 4.82	2.73 2.62 3.29	-2.82 -2.84 -2.37
<b>First Trust Value Line® Dividend Index Fund<sup>3</sup></b> Symbol: FVD Inception Date: 8/19/03	0.70%	0.72%	Net Asset Value Market Price Index	3.90 3.77 3.97	0.36 0.17 0.53	4.52 4.28 5.26	8.76 8.72 9.60	14.00 14.00 14.92	12.06 12.04 12.97	11.38 11.20 12.27	9.88 9.88 N/A
<b>US Equity Dividend Select ETF</b> Symbol: RNDV Inception Date: 6/20/17	N/A	0.50%	Net Asset Value Market Price Index	4.05 3.57 4.06	-3.43 -3.88 -3.33	2.51 1.80 2.97	13.79 13.73 14.44	N/A N/A N/A	N/A N/A N/A	N/A N/A N/A	13.17 13.17 13.79
<b>Hybrid Income Funds</b>											
<b>First Trust CEF Income Opportunity ETF</b> Symbol: FCEF Inception Date: 9/27/16	N/A	2.78%	Net Asset Value Market Price	1.11 0.61	-6.69 -7.10	-2.71 -3.14	5.30 5.15	N/A N/A	N/A N/A	N/A N/A	10.47 10.49
<b>First Trust Institutional Preferred Securities and Income ETF</b> Symbol: FPEI Inception Date: 8/22/17	N/A	0.85%	Net Asset Value Market Price	-1.73 -1.46	-2.77 -2.57	-4.16 -4.05	0.21 1.00	N/A N/A	N/A N/A	N/A N/A	0.73 1.58
<b>First Trust Preferred Securities and Income ETF</b> Symbol: FPE Inception Date: 2/11/13	N/A	0.85%	Net Asset Value Market Price	-1.79 -1.58	-3.39 -3.53	-3.48 -3.47	0.53 0.69	6.56 6.53	6.99 7.08	N/A N/A	4.88 4.91
<b>First Trust SSI Strategic Convertible Securities ETF</b> Symbol: FCVT Inception Date: 11/3/15	N/A	0.95%	Net Asset Value Market Price	1.08 0.63	-5.80 -6.49	3.01 2.26	10.64 9.91	N/A N/A	N/A N/A	N/A N/A	9.68 9.63
<b>First Trust Strategic Income ETF</b> Symbol: FDIV Inception Date: 8/13/14	0.88% <sup>4</sup>	1.21%	Net Asset Value Market Price	1.87 1.78	-1.04 -1.07	-1.03 -1.07	0.54 0.36	6.05 6.33	N/A N/A	N/A N/A	3.60 3.62
<b>Multi-Asset Diversified Income Index Fund</b> Symbol: MDIV Inception Date: 8/13/12	0.70% <sup>5</sup>	0.82%	Net Asset Value Market Price Index	0.03 -0.09 0.11	-3.08 -3.14 -2.97	-0.67 -0.89 -0.18	2.82 2.93 3.42	6.71 6.67 7.42	4.12 4.11 4.83	N/A N/A N/A	4.87 4.86 5.58
<b>Taxable Fixed Income Funds</b>											
<b>First Trust Emerging Markets Local Currency Bond ETF</b> Symbol: FEMB Inception Date: 11/4/14	N/A	0.85%	Net Asset Value Market Price	2.41 2.64	2.71 2.18	-8.09 -8.06	-9.59 -9.70	2.83 2.76	N/A N/A	N/A N/A	-2.46 -2.44
<b>First Trust Enhanced Short Maturity ETF</b> Symbol: FTSM Inception Date: 8/5/14	0.35% <sup>6</sup>	0.45%	Net Asset Value Market Price	0.10 0.10	0.39 0.41	1.77 1.83	1.91 1.93	1.42 1.44	N/A N/A	N/A N/A	1.12 1.12
<b>First Trust Low Duration Opportunities ETF</b> Symbol: LMBS Inception Date: 11/4/14	N/A	0.68%	Net Asset Value Market Price	0.43 0.39	0.30 0.30	1.05 1.13	0.80 0.74	3.41 3.55	N/A N/A	N/A N/A	3.36 3.37
<b>First Trust Senior Loan Fund</b> Symbol: FTSL Inception Date: 5/1/13	N/A	0.88%	Net Asset Value Market Price	-0.77 -1.09	-0.49 -0.74	1.96 1.72	3.66 3.80	3.69 3.63	3.34 3.29	N/A N/A	3.07 3.08
<b>First Trust Tactical High Yield ETF</b> Symbol: HYLS Inception Date: 2/25/13	N/A	1.10%	Net Asset Value Market Price	-0.54 -0.56	-1.19 -1.34	0.95 0.84	3.03 3.00	5.38 5.32	4.71 4.63	N/A N/A	4.92 4.93
<b>First Trust TCW Opportunistic Fixed Income ETF</b> Symbol: FIXD Inception Date: 2/14/17	0.55% <sup>6</sup>	0.65%	Net Asset Value Market Price	0.62 0.58	-0.85 -0.73	-1.50 -1.59	-0.88 -1.10	N/A N/A	N/A N/A	N/A N/A	1.18 1.19
<b>First Trust TCW Unconstrained Plus Bond ETF</b> Symbol: UCON Inception Date: 6/4/18	0.75% <sup>6</sup>	0.85%	Net Asset Value Market Price	-0.12 -0.32	0.08 -0.20	N/A N/A	N/A N/A	N/A N/A	N/A N/A	N/A N/A	1.50 1.42

Returns as of 11/30/18

Returns as of 9/28/18

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	Expense Ratios <sup>1</sup>		Performance (%)	1 Month	3 Month	YTD	1 Year	3 Year	5 Year	10 Year	Since Inception
	Net	Gross									
<b>Tax-free Fixed Income Funds</b>											
<b>First Trust California Municipal High Income ETF</b>	0.50% <sup>7</sup>	0.65%	Net Asset Value	0.76	-0.69	-0.12	1.67	N/A	N/A	N/A	2.59
Symbol: FCAL			Market Price	0.76	-1.04	-0.77	1.81	N/A	N/A	N/A	2.71
Inception Date: 6/20/17											
<b>First Trust Managed Municipal ETF</b>	0.50% <sup>7</sup>	0.65%	Net Asset Value	0.86	-0.46	-0.22	0.87	3.43	N/A	N/A	3.83
Symbol: FMB			Market Price	0.74	-0.57	-0.30	0.86	3.47	N/A	N/A	3.87
Inception Date: 5/13/14											
<b>First Trust Municipal CEF Income Opportunity ETF</b>	N/A	2.11%	Net Asset Value	1.79	-2.80	-4.52	-4.12	N/A	N/A	N/A	-2.80
Symbol: MCEF			Market Price	1.61	-2.91	-4.63	-4.11	N/A	N/A	N/A	-2.78
Inception Date: 9/27/16											
<b>First Trust Municipal High Income ETF</b>	0.55% <sup>7</sup>	0.70%	Net Asset Value	0.40	-0.71	1.14	N/A	N/A	N/A	N/A	2.97
Symbol: FMHI			Market Price	0.91	-0.53	1.77	N/A	N/A	N/A	N/A	3.21
Inception Date: 11/1/17											
<b>First Trust Short Duration Managed Municipal ETF</b>	0.45% <sup>6</sup>	0.55%	Net Asset Value	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Symbol: FSMB			Market Price	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Inception Date: 11/1/18											
<b>First Trust Ultra Short Duration Municipal ETF</b>	0.35% <sup>6</sup>	0.45%	Net Asset Value	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Symbol: FUMB			Market Price	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Inception Date: 11/1/18											
<b>AlphaDEX® International/Global Funds</b>											
<b>First Trust Developed Markets ex-US AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-0.46	-11.78	-15.15	3.57	12.07	5.62	N/A	4.52
Symbol: FDT			Market Price	-0.19	-11.81	-15.44	2.99	12.04	5.46	N/A	4.48
Inception Date: 4/18/11			Index	-0.44	-11.67	-14.39	4.84	13.53	N/A	N/A	N/A
<b>First Trust Developed Markets ex-US Small Cap AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-0.03	-12.28	-18.12	1.80	11.26	5.43	N/A	7.20
Symbol: FDT5			Market Price	-0.55	-12.32	-17.80	3.04	11.49	5.54	N/A	7.28
Inception Date: 2/15/12			Index	-0.01	-12.15	-17.57	2.76	12.63	N/A	N/A	N/A
<b>First Trust Emerging Markets AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	2.02	-5.06	-12.48	-3.67	14.71	3.57	N/A	0.75
Symbol: FEM			Market Price	2.68	-4.29	-12.54	-4.93	14.59	3.42	N/A	0.66
Inception Date: 4/18/11			Index	1.94	-4.83	-11.65	-2.03	15.77	N/A	N/A	N/A
<b>First Trust Emerging Markets Small Cap AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	4.93	-8.19	-18.06	-8.49	13.75	4.50	N/A	5.87
Symbol: FEMS			Market Price	4.99	-7.92	-18.94	-9.15	13.83	4.21	N/A	5.74
Inception Date: 2/15/12			Index	4.94	-7.53	-16.69	-6.91	15.34	N/A	N/A	N/A
<b>First Trust Asia Pacific ex-Japan AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	3.00	-10.45	-18.71	-2.24	8.22	5.53	N/A	3.45
Symbol: FPA			Market Price	3.44	-9.94	-18.06	-3.18	8.31	5.40	N/A	3.36
Inception Date: 4/18/11			Index	3.00	-10.31	-17.65	-0.88	9.97	N/A	N/A	N/A
<b>First Trust Europe AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-3.85	-15.21	-14.68	4.43	12.89	6.82	N/A	6.08
Symbol: FEP			Market Price	-3.54	-15.33	-14.60	4.25	12.86	6.62	N/A	6.04
Inception Date: 4/18/11			Index	-3.83	-15.13	-14.15	5.26	13.77	N/A	N/A	N/A
<b>First Trust Eurozone AlphaDEX® ETF</b>	N/A	0.80%	Net Asset Value	-2.87	-14.50	-15.79	1.20	14.44	N/A	N/A	10.23
Symbol: FEUZ			Market Price	-2.48	-14.54	-15.77	0.32	14.43	N/A	N/A	10.14
Inception Date: 10/21/14			Index	-2.85	-14.48	-15.46	1.71	15.05	N/A	N/A	10.79
<b>First Trust Latin America AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-1.34	0.86	-7.90	-8.62	17.14	-1.61	N/A	-2.70
Symbol: FLN			Market Price	-0.86	0.69	-7.76	-8.64	17.03	-1.61	N/A	-2.77
Inception Date: 4/18/11			Index	-1.61	1.79	-7.00	-6.95	18.61	N/A	N/A	N/A
<b>First Trust Australia AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-1.64	-10.29	-11.85	6.56	13.92	5.50	N/A	6.02
Symbol: FAUS			Market Price	-1.83	-10.49	-11.93	5.77	13.90	5.41	N/A	5.95
Inception Date: 2/14/12			Index	-1.75	-10.85	-11.76	7.20	14.68	N/A	N/A	N/A
<b>First Trust Brazil AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	0.15	22.47	-1.25	-18.84	18.03	-5.05	N/A	-7.16
Symbol: FBZ			Market Price	0.15	22.37	-0.81	-18.34	18.24	-5.03	N/A	-7.13
Inception Date: 4/18/11			Index	-0.10	24.17	0.01	-16.57	20.59	N/A	N/A	N/A
<b>First Trust Canada AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-3.27	-13.75	-17.64	1.36	4.41	-5.47	N/A	-1.15
Symbol: FCAN			Market Price	-3.84	-14.15	-18.02	1.72	4.48	-5.49	N/A	-1.12
Inception Date: 2/14/12			Index	-3.51	-13.78	-17.50	2.22	5.26	N/A	N/A	N/A
<b>First Trust China AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	5.11	-6.43	-12.38	-1.49	14.57	7.24	N/A	1.64
Symbol: FCA			Market Price	5.79	-5.82	-10.74	-1.45	14.61	7.35	N/A	1.64
Inception Date: 4/18/11			Index	5.15	-6.24	-11.38	-0.17	17.25	N/A	N/A	N/A
<b>First Trust Germany AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-2.37	-16.84	-19.82	-1.02	13.28	6.44	N/A	8.71
Symbol: FGM			Market Price	-2.06	-16.56	-19.56	-1.42	13.18	6.32	N/A	8.65
Inception Date: 2/14/12			Index	-2.33	-16.90	-19.64	-0.63	13.93	N/A	N/A	N/A
<b>First Trust Hong Kong AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	9.17	-4.40	-15.21	-10.35	7.20	3.56	N/A	6.19
Symbol: FHK			Market Price	9.46	-4.28	-14.92	-10.91	7.07	3.51	N/A	6.12
Inception Date: 2/14/12			Index	9.25	-4.25	-14.51	-7.37	9.20	N/A	N/A	N/A
<b>First Trust Japan AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	2.08	-5.14	-11.40	5.85	10.39	6.02	N/A	6.24
Symbol: FJP			Market Price	2.59	-4.93	-11.34	6.10	10.29	5.81	N/A	6.23
Inception Date: 4/18/11			Index	2.17	-5.29	-10.95	6.70	11.40	N/A	N/A	N/A

Returns as of 11/30/18

Returns as of 9/28/18

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	Expense Ratios <sup>1</sup>		Performance (%)	1 Month	3 Month	YTD	1 Year	3 Year	5 Year	10 Year	Since Inception
	Net	Gross									
<b>AlphaDEX® International/Global Funds continued</b>											
<b>First Trust South Korea AlphaDEX® Fund<sup>1</sup></b>	N/A	0.80%	Net Asset Value	5.49	-8.32	-20.24	-0.37	5.18	0.55	N/A	-0.61
Symbol: FKO			Market Price	6.59	-7.43	-19.66	-1.21	5.88	0.51	N/A	-0.72
Inception Date: 4/18/11			Index	5.58	-8.10	-18.10	0.62	5.62	N/A	N/A	N/A
<b>First Trust Switzerland AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-2.72	-13.46	-12.17	1.19	12.89	7.72	N/A	10.33
Symbol: FSZ			Market Price	-2.53	-13.30	-12.31	0.74	12.77	7.71	N/A	10.31
Inception Date: 2/14/12			Index	-2.84	-13.61	-12.13	1.85	13.47	N/A	N/A	N/A
<b>First Trust United Kingdom AlphaDEX® Fund<sup>2</sup></b>	N/A	0.80%	Net Asset Value	-4.10	-11.71	-12.74	4.03	1.64	2.83	N/A	6.89
Symbol: FKU			Market Price	-2.89	-11.23	-11.45	4.11	1.69	2.78	N/A	6.91
Inception Date: 2/14/12			Index	-3.88	-11.67	-11.69	5.49	2.88	N/A	N/A	N/A
<b>International/Global Funds</b>											
<b>Developed International Equity Select ETF</b>	N/A	0.65%	Net Asset Value	0.60	-5.89	-8.06	2.41	N/A	N/A	N/A	2.85
Symbol: RNDM			Market Price	0.55	-6.09	-8.32	1.68	N/A	N/A	N/A	2.71
Inception Date: 6/20/17			Index	0.66	-5.83	-7.02	3.82	N/A	N/A	N/A	4.19
<b>Emerging Markets Equity Select ETF</b>	N/A	0.75%	Net Asset Value	5.03	-1.49	-7.99	-2.17	N/A	N/A	N/A	2.88
Symbol: RNEM			Market Price	5.13	-1.24	-7.76	-2.14	N/A	N/A	N/A	3.49
Inception Date: 6/20/17			Index	5.07	-1.27	-6.37	0.06	N/A	N/A	N/A	5.28
<b>First Trust BICK Index Fund</b>	N/A	0.64%	Net Asset Value	2.19	-3.61	-13.46	-6.82	13.68	3.76	N/A	0.10
Symbol: BICK			Market Price	2.19	-3.63	-14.31	-7.33	13.90	3.95	N/A	0.12
Inception Date: 4/12/10			Index	2.10	-3.24	-12.49	-5.62	14.89	4.66	N/A	0.98
<b>First Trust Chindia ETF</b>	0.60%	0.60%	Net Asset Value	4.36	-9.62	-16.31	-8.02	12.20	6.97	9.16	6.06
Symbol: FNI			Market Price	4.27	-9.61	-16.50	-8.04	12.22	7.00	9.20	6.06
Inception Date: 5/8/07			Index	4.42	-9.48	-15.94	-7.64	12.78	7.45	9.71	6.60
<b>First Trust Dorsey Wright International Focus 5 ETF</b>	N/A	1.06%	Net Asset Value	-0.76	-12.19	-16.84	-2.91	7.53	N/A	N/A	1.60
Symbol: IFV			Market Price	-0.76	-12.23	-16.69	-3.05	7.43	N/A	N/A	1.56
Inception Date: 7/22/14			Index	-0.74	-12.16	-16.66	-2.61	8.54	N/A	N/A	1.89
<b>First Trust Dow Jones International Internet ETF</b>	N/A	0.65%	Net Asset Value	N/A	N/A	N/A	-2.91	7.53	N/A	N/A	1.60
Symbol: FDNI			Market Price	N/A	N/A	N/A	-3.05	7.43	N/A	N/A	1.56
Inception Date: 11/1/18			Index	N/A	N/A	N/A	-2.61	8.54	N/A	N/A	1.89
<b>First Trust Global Engineering and Construction ETF</b>	0.70%	0.92%	Net Asset Value	-0.30	-10.02	-15.06	1.67	10.60	5.09	N/A	8.31
Symbol: FLM			Market Price	-0.40	-9.68	-15.14	1.22	10.54	5.03	N/A	8.28
Inception Date: 10/13/08			Index	-0.28	-10.00	-14.70	2.29	11.58	6.20	7.63	9.91
<b>First Trust Horizon Managed Volatility Developed International ETF</b>	N/A	0.80%	Net Asset Value	1.72	-3.43	-4.29	4.17	N/A	N/A	N/A	8.38
Symbol: HDMV			Market Price	2.36	-3.50	-4.24	3.45	N/A	N/A	N/A	8.41
Inception Date: 8/24/16			Index								
<b>First Trust India NIFTY 50 Equal Weight ETF<sup>2</sup></b>	N/A	0.80%	Net Asset Value	9.13	-6.94	-3.63	-2.00	7.00	4.77	N/A	4.60
Symbol: NIFTY			Market Price	9.54	-7.60	-2.55	-1.04	7.62	4.97	N/A	4.69
Inception Date: 2/14/12			Index	9.03	-8.18	-13.81	-7.39	N/A	N/A	N/A	N/A
<b>First Trust International Equity Opportunities ETF</b>	N/A	0.70%	Net Asset Value	3.02	-7.91	-8.39	3.35	13.15	N/A	N/A	5.56
Symbol: FPXI			Market Price	3.35	-7.95	-7.86	2.87	13.23	N/A	N/A	5.57
Inception Date: 11/4/14			Index	3.01	-7.86	-7.87	4.17	14.26	N/A	N/A	6.34
<b>First Trust IPOX® Europe Equity Opportunities ETF</b>	N/A	0.70%	Net Asset Value	-2.84	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Symbol: FPXE			Market Price	-2.34	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Inception Date: 10/4/18			Index	-2.74	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>First Trust NASDAQ Global Auto Index Fund</b>	N/A	0.70%	Net Asset Value	-1.08	-6.98	-18.25	-7.57	5.27	0.71	N/A	4.33
Symbol: CARZ			Market Price	-0.96	-6.67	-18.21	-7.70	5.26	0.61	N/A	4.34
Inception Date: 5/9/11			Index	-0.92	-6.93	-17.59	-6.76	6.29	1.54	N/A	5.31
<b>Green/Renewable Funds</b>											
<b>First Trust Global Wind Energy ETF</b>	0.60%	0.71%	Net Asset Value	7.73	-4.76	-6.79	-2.92	10.38	6.92	-2.06	-6.20
Symbol: FAN			Market Price	7.84	-4.62	-6.88	-3.90	10.42	6.76	-2.11	-6.24
Inception Date: 6/16/08			Index	7.71	-4.75	-6.45	-2.41	11.32	7.73	-1.16	-5.45
<b>First Trust NASDAQ® Clean Edge® Green Energy Index Fund</b>	0.60%	0.66%	Net Asset Value	3.67	-4.91	-3.41	2.96	12.79	4.66	0.91	0.32
Symbol: QCLN			Market Price	3.19	-5.35	-4.10	2.90	12.81	4.57	0.92	0.33
Inception Date: 2/8/07			Index	3.67	-4.91	-3.28	3.16	12.72	4.63	1.02	0.51
<b>First Trust NASDAQ® Clean Edge® Smart Grid Infrastructure Index Fund</b>	0.70%	0.84%	Net Asset Value	0.54	-14.79	-15.68	-1.66	16.16	8.41	N/A	6.50
Symbol: GRID			Market Price	0.57	-15.11	-15.94	-1.10	16.66	8.68	N/A	6.59
Inception Date: 11/16/09			Index	0.57	-14.72	-15.24	-1.06	16.98	9.16	N/A	7.33
<b>First Trust Water ETF</b>	0.56%	0.56%	Net Asset Value	5.30	-5.59	-0.59	12.83	23.59	10.95	10.78	9.41
Symbol: FIW			Market Price	4.93	-5.96	-1.03	12.78	23.59	10.95	10.35	9.41
Inception Date: 5/8/07			Index	5.37	-5.46	-0.28	13.21	24.14	11.52	11.47	10.08

Returns as of 11/30/18

Returns as of 9/28/18

Performance data quoted represents past performance. Past performance is not a guarantee of future results and current performance may be higher or lower than performance quoted. Investment returns and principal value will fluctuate and shares when sold or redeemed, may be worth more or less than their original cost. You can obtain performance information which is current through the most recent month-end by visiting [www.ftportfolios.com](http://www.ftportfolios.com).

	Expense Ratios <sup>1</sup>		Performance (%)	1 Month	3 Month	YTD	1 Year	3 Year	5 Year	10 Year	Since Inception
	Net	Gross									
<b>Specialty Funds</b>											
<b>EquityCompass Risk Manager ETF</b>	N/A	0.65%	Net Asset Value	2.88	-3.18	2.24	12.65	N/A	N/A	N/A	12.47
Symbol: ERM			Market Price	2.70	-3.44	1.83	12.70	N/A	N/A	N/A	12.50
Inception Date: 4/10/17											
<b>EquityCompass Tactical Risk Manager ETF</b>	N/A	0.65%	Net Asset Value	2.88	-3.19	2.25	12.71	N/A	N/A	N/A	12.49
Symbol: TERM			Market Price	2.88	-3.24	2.11	12.75	N/A	N/A	N/A	12.52
Inception Date: 4/10/17											
<b>First Trust Dorsey Wright DALI 1 ETF</b>	N/A	0.92%	Net Asset Value	2.17	-11.91	N/A	N/A	N/A	N/A	N/A	5.87
Symbol: DALI			Market Price	1.84	-12.10	N/A	N/A	N/A	N/A	N/A	5.97
Inception Date: 5/14/18			Index	2.23	-11.85	N/A	N/A	N/A	N/A	N/A	5.98
<b>First Trust Dorsey Wright Dynamic Focus 5 ETF</b>	N/A	0.89%	Net Asset Value	2.94	-11.72	1.28	18.91	N/A	N/A	N/A	16.08
Symbol: FVC			Market Price	2.62	-12.00	0.89	18.82	N/A	N/A	N/A	16.07
Inception Date: 3/17/16			Index	2.96	-11.67	1.54	19.25	N/A	N/A	N/A	16.47
<b>First Trust Dorsey Wright Focus 5 ETF</b>	N/A	0.89%	Net Asset Value	2.92	-11.74	1.25	18.91	12.90	N/A	N/A	10.48
Symbol: FV			Market Price	2.62	-11.97	0.88	18.94	12.91	N/A	N/A	10.49
Inception Date: 3/5/14			Index	2.96	-11.67	1.54	19.25	13.41	N/A	N/A	10.92
<b>First Trust Dorsey Wright Momentum &amp; Low Volatility ETF</b>	N/A	0.60%	Net Asset Value	3.36	N/A	N/A	N/A	N/A	N/A	N/A	-0.15
Symbol: DVOL			Market Price	3.25	N/A	N/A	N/A	N/A	N/A	N/A	-0.05
Inception Date: 9/5/18			Index	3.37	N/A	N/A	N/A	N/A	N/A	N/A	-0.11
<b>First Trust Dorsey Wright Momentum &amp; Value ETF</b>	N/A	0.60%	Net Asset Value	0.45	N/A	N/A	N/A	N/A	N/A	N/A	-2.60
Symbol: DVLU			Market Price	0.28	N/A	N/A	N/A	N/A	N/A	N/A	-2.50
Inception Date: 9/5/18			Index	0.46	N/A	N/A	N/A	N/A	N/A	N/A	-2.54
<b>First Trust Dorsey Wright People's Portfolio ETF<sup>2</sup></b>	N/A	0.60%	Net Asset Value	2.35	-5.38	1.06	13.74	10.44	8.81	N/A	8.73
Symbol: DWPP			Market Price	2.21	-5.61	0.75	13.82	10.50	8.77	N/A	8.73
Inception Date: 8/29/12			Index	2.41	-5.25	1.63	14.45	N/A	N/A	N/A	N/A
<b>First Trust Lunt U.S. Factor Rotation ETF</b>	N/A	0.65%	Net Asset Value	2.20	-5.73	N/A	N/A	N/A	N/A	N/A	2.54
Symbol: FCTR			Market Price	1.88	-6.10	N/A	N/A	N/A	N/A	N/A	2.59
Inception Date: 7/25/18			Index	2.27	-5.57	N/A	N/A	N/A	N/A	N/A	2.66
<b>First Trust NASDAQ-100 Equal Weighted Index Fund</b>	0.60%	0.60%	Net Asset Value	3.27	-5.96	3.94	14.95	17.19	14.36	14.73	10.29
Symbol: QQEW			Market Price	2.91	-6.30	3.50	14.99	17.24	14.34	14.61	10.29
Inception Date: 4/19/06			Index	3.31	-5.83	4.48	15.64	17.91	15.06	15.46	10.97
<b>First Trust NASDAQ-100 Ex-Technology Sector Index Fund</b>	0.60%	0.63%	Net Asset Value	3.03	-4.53	3.91	13.53	11.81	10.89	12.92	9.24
Symbol: QQXT			Market Price	2.80	-4.70	3.66	13.63	11.82	10.82	12.95	9.24
Inception Date: 2/8/07			Index	3.07	-4.41	4.43	14.21	12.44	11.54	13.62	9.92
<b>First Trust US Equity Opportunities ETF</b>	0.59%	0.59%	Net Asset Value	0.32	-9.79	0.52	17.25	16.01	13.38	15.59	12.02
Symbol: FPX			Market Price	0.03	-10.09	0.08	17.25	16.09	13.35	15.66	12.03
Inception Date: 4/12/06			Index	0.39	-9.66	0.98	17.83	16.60	14.00	16.27	12.69
<b>First Trust Value Line® 100 Exchange-Traded Fund<sup>3</sup></b>	0.70%	0.85%	Net Asset Value	1.23	-14.00	-11.68	3.33	7.20	7.39	6.40	5.83
Symbol: FVL			Market Price	1.08	-14.16	-11.85	3.47	7.24	7.39	6.48	5.83
Inception Date: 6/12/03			Index	1.31	-13.83	-10.99	4.21	8.27	8.42	7.40	N/A
<b>Alternative Funds</b>											
<b>First Trust Alternative Absolute Return Strategy ETF</b>	N/A	0.95%	Net Asset Value	-2.53	-7.28	-9.30	2.34	N/A	N/A	N/A	-0.50
Symbol: FAAR			Market Price	-2.20	-7.17	-9.00	1.26	N/A	N/A	N/A	-0.29
Inception Date: 5/18/16											
<b>First Trust BuyWrite Income ETF</b>	N/A	0.85%	Net Asset Value	1.28	-5.03	-1.49	8.12	11.59	N/A	N/A	8.02
Symbol: FTHI			Market Price	0.91	-5.12	-1.28	7.97	11.59	N/A	N/A	8.01
Inception Date: 1/6/14											
<b>First Trust Global Tactical Commodity Strategy Fund</b>	N/A	0.95%	Net Asset Value	-3.92	-4.46	-10.13	0.14	-3.29	N/A	N/A	-7.77
Symbol: FTGC			Market Price	-3.87	-4.61	-10.10	-0.06	-3.34	N/A	N/A	-7.81
Inception Date: 10/22/13											
<b>First Trust Hedged BuyWrite Income ETF</b>	N/A	0.85%	Net Asset Value	1.41	-4.39	-1.99	5.95	9.65	N/A	N/A	6.34
Symbol: FTLB			Market Price	1.08	-4.65	-2.34	5.62	9.66	N/A	N/A	6.35
Inception Date: 1/6/14											
<b>First Trust Long/Short Equity ETF</b>	N/A	1.48%	Net Asset Value	0.70	-4.52	-0.73	9.73	9.74	N/A	N/A	8.46
Symbol: FTLS			Market Price	0.60	-4.72	-0.94	9.73	9.72	N/A	N/A	8.46
Inception Date: 9/8/14											
<b>First Trust Morningstar Managed Futures Strategy Fund</b>	N/A	0.95%	Net Asset Value	-1.98	-3.78	-0.01	9.34	0.50	0.81	N/A	0.65
Symbol: FMF			Market Price	-1.77	-3.78	0.68	9.45	0.72	0.92	N/A	0.77
Inception Date: 8/1/13											
<b>Dynamic Currency Hedged Funds</b>											
<b>First Trust RiverFront Dynamic Asia Pacific ETF</b>	N/A	0.83%	Net Asset Value	1.25	-6.67	-12.04	0.35	N/A	N/A	N/A	6.89
Symbol: RFAP			Market Price	1.74	-6.32	-11.94	-0.03	N/A	N/A	N/A	6.83
Inception Date: 4/13/16											
<b>First Trust RiverFront Dynamic Developed International ETF</b>	N/A	0.83%	Net Asset Value	-2.02	-11.02	-13.05	0.85	N/A	N/A	N/A	10.78
Symbol: RFDI			Market Price	-2.29	-10.93	-13.14	0.97	N/A	N/A	N/A	11.04
Inception Date: 4/13/16											
<b>First Trust RiverFront Dynamic Emerging Markets ETF</b>	N/A	0.95%	Net Asset Value	4.24	-8.02	-14.99	-3.74	N/A	N/A	N/A	14.04
Symbol: RFEM			Market Price	4.09	-7.85	-15.81	-4.86	N/A	N/A	N/A	13.91
Inception Date: 6/14/16											
<b>First Trust RiverFront Dynamic Europe ETF</b>	N/A	0.83%	Net Asset Value	-3.90	-13.43	-13.77	1.15	N/A	N/A	N/A	12.70
Symbol: RFEU			Market Price	-3.46	-13.21	-13.82	0.61	N/A	N/A	N/A	12.58
Inception Date: 4/13/16											
				Returns as of 11/30/18			Returns as of 9/28/18				

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*You should consider a fund's investment objectives, risks, and charges and expenses carefully before investing. Contact First Trust Portfolios L.P. at 1-800-621-1675 or visit [www.ftportfolios.com](http://www.ftportfolios.com) to obtain a prospectus or summary prospectus which contains this and other information about a fund. The prospectus or summary prospectus should be read carefully before investing.*

## Important Notes

Complete details regarding charges and expenses can be found in each fund's prospectus on our website [www.ftportfolios.com](http://www.ftportfolios.com).

<sup>1</sup>The net expense ratio reflects the investment advisor's agreement to waive certain of its fees and/or pay fund expenses, if applicable. Pursuant to contract, First Trust has agreed to waive fees and/or pay fund expenses to prevent the net expense ratio of the fund from exceeding the net expense ratio listed on the previous pages, at least until April 30, 2019 for FCG, FDL, FDM, FNI, FVD, FVL, TUSA, QABA, QCLN, QQEW and QQXT; at least until January 31, 2019 for FAN, FDD, FFR, FLM and GRID; and from exceeding 0.60%, at least until April 30, 2019 for FDN, FBT, FPX, QTEC and FIW; at least until January 31, 2019 for FGD; and from exceeding 0.50%, at least until April 30, 2019 for FRI; and from exceeding 0.65%, at least until April 30, 2019 for FTCS; and from exceeding 0.70%, at least until November 30, 2019 for FEX, FNX, FYX, FTC, FTA, FXD, FXG, FXN, FXO, FXH, FXR, FXZ, FXL, FXU, FAD and FAB. FAB may have net expenses greater than the expense cap as a result of any acquired fund fees and expenses or other expenses that are excluded from the calculation.

<sup>2</sup>On the dates indicated below, each fund's underlying index changed. Therefore, each fund's performance and historical returns shown for the periods prior to the date indicated are not necessarily indicative of the performance that each fund, based on its current index, would have generated. The funds that changed underlying indexes and the dates of change are: FRI (November 6, 2008); FTCS (June 18, 2010 and then again on June 4, 2013); TUSA (January 9, 2015); FAUS, FBZ, FCA, FCAN, FGM, FHK, FJP, FKO, FKU and FSZ (July 14, 2015); FPA, FDT, FDS, FEM, FEMS, FEP and FLN (October 13, 2015); FTRI and FTAG (December 18, 2015); FMK, FEX, FTC, FTA, FNX, FNY, FNK, FYX, FYC, FYT, FAD and FAB (April 8, 2016); DWPP (August 18, 2017); NFTY (July 14, 2015 and then again on April 17, 2018); FID (August 30, 2018); DDIV (September 6, 2018). Please see each fund's prospectus for additional information.

<sup>3</sup>On December 15, 2006, the First Trust Value Line® Dividend Index Fund acquired the assets and adopted the performance history of the First Trust Value Line® Dividend Fund, a closed-end fund, and on June 15, 2007, the First Trust Value Line® 100 Exchange-Traded Fund acquired the assets and adopted the performance history of the First Trust Value Line® 100 Fund, also a closed-end fund. The investment goals, strategies and policies of the funds are substantially similar to those of the predecessor funds. Performance information for periods prior to December 15, 2006 and June 15, 2007 respectively is based on the performance history of the predecessor funds and reflects the operating expenses of the predecessor funds.

<sup>4</sup>First Trust has contractually agreed to reimburse acquired fund fees and expenses of the shares of investment companies held by the fund, provided that the investment companies are advised by the fund's investment advisor. The agreement will remain in place until at least March 1, 2019, or until its termination at the direction of the Trust's Board of Trustees.

<sup>5</sup>First Trust has contractually agreed to reduce management fees earned by the fund for management fees due to be paid to the underlying investment companies advised by First Trust. The agreement will remain in place until at least January 31, 2019, or until its termination at the direction of the Trust's Board of Trustees, or the termination of the Investment Management Agreement.

<sup>6</sup>First Trust has contractually agreed to waive management fees of 0.10% of average daily net assets until March 1, 2019 for FTSM; until February 13, 2019 for FIXD; until June 5, 2019 for UCON; and until November 1, 2020 for FSMB and FUMB.

<sup>7</sup>First Trust has contractually agreed to waive management fees of 0.15% of average daily net assets until March 1, 2019 for FMB; and until November 30, 2019 for FCAL and FMHI.

**NAV** returns are based on the fund's net asset value which represents the fund's net assets (assets less liabilities) divided by the fund's outstanding shares. **Market Price** returns are based on the midpoint of the bid/ask spread on the stock exchange on which shares of the fund are listed for trading as of the time that the fund's NAV is calculated. Returns are average annualized total returns, except those for periods of less than one year, which are cumulative. A fund's performance may reflect fee waivers and expense reimbursements, absent which performance would have been lower.

**Performance information for the indexes is for illustrative purposes only and does not represent the performance of any actual investment or fund.** Actual performance of a fund may be lower or higher than the index. Indexes do not charge management fees or brokerage expenses, and no such fees or expenses were deducted from the performance shown. Indexes are unmanaged and an investor cannot invest directly in an index.

## ETF Characteristics

Investors buying or selling fund shares on the secondary market may incur customary brokerage commissions. Investors who sell fund shares may receive less than the share's net asset value. Shares may be sold throughout the day on the exchange through any brokerage account. However, unlike mutual funds, shares may only be redeemed directly from a fund by authorized participants, in very large creation/redemption units. If a fund's authorized participants are unable to proceed with creation/redemption orders and no other authorized participant is able to step forward to create or redeem, fund shares may trade at a discount to the fund's net asset value and possibly face delisting.

## Risk Considerations

A fund's shares will change in value, and you could lose money by investing in a fund. There can be no assurance that a fund's investment objective will be achieved.

An index fund's return may not match the return of the applicable index. Securities held by an index fund will generally not be bought or sold in response to market fluctuations.

Actively managed funds are subject to management risk because the advisor or sub-advisor will apply investment techniques and risk analyses that may not have the desired result.

A fund may invest in a concentrated portfolio which involves additional risks including limited diversification.

A fund may invest in small capitalization and mid capitalization companies. Such companies may experience greater price volatility than larger companies.

A fund containing securities of non-U.S. issuers is subject to additional risks as non-U.S. issuers are subject to higher volatility than securities of U.S. issuers. Risks may be heightened for securities of companies located in, or with significant operations in, emerging market countries. Non-U.S. dollar denominated securities may lose money if the local currency depreciates against the U.S. dollar.

Fixed-income securities held by certain of the funds may be subject to credit risk, call risk, income risk, interest rate risk, prepayment risk, extension risk and zero coupon risk. These risks could result in the decline in the value of a security held by a fund, a decline in a fund's income, increased volatility as interest rates rise or fall, and an adverse impact on fund performance. These risks may be heightened for high-yield securities.

Income from municipal bonds could be declared taxable and all or a portion of a fund's otherwise exempt interest dividends may be taxable to investors subject to the federal alternative minimum tax.

Alternative investments may employ complex strategies, have unique investment and risk characteristics that may not be suitable for all investors.

The use of options, warrants and any other derivatives may lead to losses because of adverse movements in the price or value of the underlying asset, index or rate, which may be magnified by certain features of the derivatives.

The trading prices of commodities, commodities-linked instruments, and commodities futures may fluctuate and may not correlate to price movements in other asset classes.

A fund may invest in other investment companies which involves additional expenses that would not be present in a direct investment in the underlying funds. In addition, a fund's investment performance and risks may be related to the investment performance and risks of the underlying funds.

A fund may effect a portion of creations and redemptions for cash, rather than in-kind securities. As a result, a fund may be less tax-efficient.

FAAR, FTGC and FMF do not invest directly in futures instruments. Rather, each fund invests in a wholly-owned subsidiary, which has the same investment objective as the funds, but unlike the funds it may invest without limitation in futures instruments. The subsidiary is not registered under the Investment Company Act of 1940 and is not subject to all its investor protections. Thus, the fund, as an investor in the subsidiary, will not have all the protections offered to investors in registered investment companies.

Certain funds are classified as "non-diversified" and may invest a relatively high percentage of their assets in a limited number of issuers. As a result, the funds may be more susceptible to a single adverse economic or regulatory occurrence affecting one or more of these issuers, experience increased volatility and be highly concentrated in certain issuers.

First Trust Advisors L.P. (FTA) is the adviser to the funds. FTA is an affiliate of First Trust Portfolios L.P., the funds' distributor.

First Trust Advisors L.P. is registered as a commodity pool operator and commodity trading advisor and is also a member of the National Futures Association.

Please be aware that each fund listed is subject to various risks depending on the fund's investment objectives. For a complete description of relative risks for a specific fund, please obtain and carefully read the appropriate First Trust prospectus by visiting [www.ftportfolios.com](http://www.ftportfolios.com) or calling 1-800-621-1675.



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