

First Trust Preferred Securities and Income Fund  
Holdings as of June 29, 2018\*

Security Name	Identifier	Shares/Quantity	Market Value	Weighting
ENEL SPA V/R 09/24/73	29265WAA6	4,761,000	5,314,466.25	2.10%
LIBERTY MUTUAL GR V/R 06/15/58	U52932AL1	3,285,000	4,902,862.50	1.93%
BANK OF AMERICA COR V/R /PERP/	060505EU4	4,500,000	4,764,375.00	1.88%
FARM CREDIT BK OF TEXAS PFD	30767E307	4,000	4,570,000.00	1.80%
ENBRIDGE ENERGY P V/R 10/01/37	29250RAK2	4,231,400	4,247,267.75	1.68%
AQUA + INV(SWISS RE V/R /PERP/	G0504LBR7	4,200,000	4,225,586.40	1.67%
ZIONS BANCORPORATIO V/R /PERP/	989701BF3	4,000,000	4,210,000.00	1.66%
BARCLAYS PLC V/R /PERP/	G0809LDY2	4,000,000	4,142,668.00	1.63%
CATLIN INSURANCE V/R PERPETUAL 144A PP	149206AA2	4,100,000	4,089,750.00	1.61%
WELLS FARGO V/R /PERP/PFD/ K	949746PM7	4,000,000	4,057,500.00	1.60%
EMERA INC V/R 06/15/76	290876AD3	3,800,000	3,971,000.00	1.57%
AERCAP GLOBAL AVI V/R 06/15/45	00773HAA5	3,720,000	3,850,200.00	1.52%
CREDIT AGRICOLE SA V/R /PERP/	225313AJ4	3,485,000	3,698,456.25	1.46%
LLOYDS BANK PLC V/R /PERP/	539473AE8	3,000,000	3,684,402.00	1.45%
CREDIT AGRICOLE SA V/R /PERP/	225313AD7	3,400,000	3,476,500.00	1.37%
ROYAL BK SCOTLND GR V/R /PERP/	780097BB6	3,250,000	3,462,062.50	1.37%
XLIT LTD /PFD/	G98296109	3,400	3,451,000.00	1.36%
COBANK ACB /PFD/	19075Q805	32,500	3,396,250.00	1.34%
LAND O LAKES CA 7.45% 03/15/28	514665AA6	3,000,000	3,375,000.00	1.33%
FRIENDS LIFE HOLDIN V/R /PERP/	G8138JAA7	3,300,000	3,338,362.50	1.32%
LAND O'LAKES INC 8% /PERP/	514666AK2	3,000,000	3,315,000.00	1.31%
LIBERTY MUTUAL G 7.8% 03/15/37	53079EAN4	2,663,000	3,148,997.50	1.24%
ING GROEP NV V/R /PERP/	BYVGLB2	3,000,000	3,066,000.00	1.21%
GMAC CAP 8.125% 2/15/40 /PFD/	361860208	115,000	3,024,500.00	1.19%
UNICREDIT SPA V/R /PERP/	BL25ZT3	3,100,000	2,915,683.30	1.15%
CREDIT SUISSE GROUP V/R /PERP/	22546DAB2	2,750,000	2,846,002.50	1.12%
ROYAL BK SCOTLND GR V/R /PERP/	780099CK1	2,700,000	2,841,750.00	1.12%
INTESA SANPAOLO SPA V/R /PERP/	46115HAU1	2,954,000	2,766,421.00	1.09%
COBANK ACB /PFD/	19075Q870	27,000	2,740,500.00	1.08%
JPMORGAN CHASE & CO V/R /PERP/	46625HJQ4	2,500,000	2,721,875.00	1.07%
COBANK ACB V/R /PERP/	19075QAC6	2,500,000	2,612,500.00	1.03%
VEREIT INC /PFD/	92339V209	102,470	2,574,046.40	1.02%
QBE INSURANCE GRO V/R 12/02/44	Q78063AG1	2,500,000	2,568,750.00	1.01%
LA MONDIALE V/R /PERP/	F62738AK9	2,500,000	2,559,500.00	1.01%
CHS INC PFD	12542R506	93,791	2,558,618.48	1.01%
SOCIETE GENERALE V/R /PERP/	83368JG4	2,500,000	2,550,000.00	1.01%
CNP ASSURANCES V/R /PERP/	F1876NAT9	2,500,000	2,521,652.50	1.00%
TRANSCANADA TRUST V/R 08/15/76	89356BAB4	2,500,000	2,481,250.00	0.98%
HSBC HOLDINGS PLC V/R /PERP/	404280AT6	2,444,000	2,404,285.00	0.95%
STANDARD CHARTERED V/R /PERP/	853254BH2	2,250,000	2,311,875.00	0.91%
GENERAL MOTORS FINL V/R /PERP/	37045XCA2	2,400,000	2,307,000.00	0.91%
SOCIETE GENERALE V/R /PERP/	83367TBF5	2,250,000	2,306,250.00	0.91%
ENERGY TRANSFER P V/R 11/01/66	29273RBA6	2,661,000	2,281,807.50	0.90%
DANSKE BANK A/S V/R /PERP/	K222736J8	2,350,000	2,225,732.00	0.88%
QBE INSURANCE GRO V/R 11/24/43	74737EAA2	2,000,000	2,185,000.00	0.86%
BNP PARIBAS V/R /PERP/	05565AAQ6	1,987,000	2,078,898.75	0.82%
E*TRADE FINANCIAL C V/R /PERP/	269246BN3	2,000,000	2,040,000.00	0.81%
JPMORGAN CHASE & CO V/R /PERP/	46625HHA1	2,000,000	2,017,500.00	0.80%
BANCO SANTANDER SA V/R /PERP/	E2R99CFM4	2,000,000	2,009,412.00	0.79%
SWEDBANK AB V/R /PERP/	W94232TS9	2,000,000	1,996,006.00	0.79%
MITSUI SUMITOMO I V/R 03/15/72	606839AA6	1,826,000	1,992,622.50	0.79%
CITIGROUP INC V/R /PERP/	172967JK8	1,850,000	1,897,360.00	0.75%
PEOPLE'S UNITED FIN INC /PFD/	712704204	71,544	1,834,388.16	0.72%
UNICREDIT SPA V/R 06/19/32	904678AF6	2,000,000	1,785,714.00	0.70%
MORGAN STANLEY PFD	61762V200	61,744	1,742,415.68	0.69%
LLOYDS BANKING GROU V/R /PERP/	539439AG4	1,700,000	1,730,600.00	0.68%
ASSURANT INC V/R 03/27/48	04621XAK4	1,700,000	1,721,441.45	0.68%
KONINKLIJKE KPN N V/R 03/28/73	N4297BBC7	1,600,000	1,666,400.00	0.66%
CITIZENS FINANCIAL V/R /PERP/	174610AH8	1,600,000	1,632,000.00	0.64%

AES GENER SA V/R 12/18/73	P0607LAA1	1,575,000	1,621,226.25	0.64%
BANK OF AMERICA COR V/R /PERP/	060505EL4	1,500,000	1,595,625.00	0.63%
WELLS FARGO & COMPA V/R /PERP/	949746RN3	1,500,000	1,548,750.00	0.61%
BHP BILLITON FIN V/R 10/19/75	055451AW8	1,473,000	1,544,164.31	0.61%
STANDARD CHARTERED V/R /PERP/	853254BA7	1,500,000	1,541,250.00	0.61%
AUST & NZ BANKING G V/R /PERP/	05254HAA2	1,500,000	1,528,125.00	0.60%
ENTERPRISE PRODUC V/R 08/01/66	293791AV1	1,500,000	1,505,625.00	0.59%
SOVEREIGN REIT PFD 12% 144A	84610XAA1	1,261	1,478,522.50	0.58%
WINTRUST FINANCIAL CORP PFD	97650W405	54,369	1,456,545.51	0.57%
AEGON NV V/R 04/11/48	007924AJ2	1,500,000	1,443,584.40	0.57%
BNP PARIBAS V/R /PERP/	05565ACA9	1,439,000	1,431,805.00	0.57%
DAIRY FARMERS OF 7.125% /PERP/	23385HAA7	1,300,000	1,397,500.00	0.55%
US DOLLARS	CASHUSD	1,397,431	1,397,431.41	0.55%
CITIGROUP INC SERIES K /PFD/	172967341	50,000	1,373,000.00	0.54%
ENSTAR GROUP LTD /PFD/	29359U109	52,753	1,332,540.78	0.53%
MORGAN STANLEY /PFD/	61761J406	48,869	1,312,621.34	0.52%
LAND O'LAKES INC 7.25% /PERP/	514666AM8	1,200,000	1,311,000.00	0.52%
CITIGROUP INC V/R /PERP/	172967KD2	1,250,000	1,306,250.00	0.52%
COLONY CAPITAL INC /PFD/	19626G306	49,976	1,296,377.44	0.51%
ASPEN INSURANCE HOLDINGS LTD PFD SHS 5.95	G05384154	50,000	1,283,500.00	0.51%
ENBRIDGE INC V/R 07/15/77	29250NAS4	1,400,000	1,279,250.00	0.50%
BANCO BILBAO VIZCAY V/R /PERP/	05946KAF8	1,400,000	1,239,000.00	0.49%
NORDEA BANK AB V/R /PERP/	65557DAL5	1,207,000	1,182,860.00	0.47%
DELPHI FINANCIAL GROUP PFD	247131303	50,000	1,126,250.00	0.44%
QWEST CORP 7% 4/1/52 PFD	74913G402	49,010	1,120,368.60	0.44%
BPCE SA V/R /PERP/	05571AAA3	1,000,000	1,097,500.00	0.43%
FUKOKU MUTUAL LIFE V/R /PERP/	J17074AB1	1,000,000	1,082,300.00	0.43%
COOPERATIEVE RABOBA V/R /PERP/	749770AQ6	1,000,000	1,075,000.00	0.42%
ROYAL BK SCOTLND GR V/R /PERP/	780099CJ4	1,046,000	1,069,012.00	0.42%
INTEGRYS EN V/R 8/1/73 /PFD/	45822P204	40,000	1,055,000.00	0.42%
PRUDENTIAL FINANC V/R 06/15/43	744320AM4	1,000,000	1,032,500.00	0.41%
SOUTHERN CO V/R 03/15/57	842587CY1	1,000,000	1,030,849.82	0.41%
UBS GROUP FUNDING S V/R /PERP/	BVXZ4P1	1,000,000	1,028,821.00	0.41%
CNP ASSURANCES V/R /PERP/	F1876NAU6	1,000,000	1,024,000.00	0.40%
FORTEGRA FINANCIA V/R 10/15/57	34954WAA2	1,000,000	1,022,500.00	0.40%
GOLDMAN SACHS GROUP V/R /PERP/	38148BAB4	1,000,000	1,018,750.00	0.40%
AG INSURANCE SA/NV V/R /PERP/	B0R399AA2	1,000,000	1,011,930.00	0.40%
VOYA FINANCIAL IN V/R 05/15/53	45685EAG1	1,000,000	1,007,500.00	0.40%
ASSURED GUARANTY V/R 12/15/66	31769PAB6	1,000,000	1,002,500.00	0.40%
UBS GROUP FUNDING S V/R /PERP/	BZ04Y60	1,000,000	992,545.00	0.39%
BANCO MERCANTIL DE V/R /PERP/	05962GAJ8	1,000,000	991,250.00	0.39%
ANNALY CAPITAL MGMT SER F	035710870	38,824	985,353.12	0.39%
AMTRUST FINANC 6.125% 08/15/23	032359AE1	1,000,000	977,791.57	0.39%
ING GROEP NV V/R /PERP/	456837AF0	1,000,000	969,800.00	0.38%
ENBRIDGE INC V/R 03/01/78	29250NAW5	1,000,000	943,762.09	0.37%
VALLEY NATIONAL BANCORP /PFD/	919794305	36,120	933,340.80	0.37%
DCP MIDSTREAM LP V/R /PERP/	23311PAA8	900,000	863,437.50	0.34%
COLONY CAPITAL INC SER H /PFD/	19626G702	37,305	862,118.55	0.34%
HSBC HOLDINGS PLC V/R /PERP/	404280BC2	800,000	829,000.00	0.33%
ENERGY TRANSFER PAR V/R /PERP/	29278NAB9	900,000	822,937.50	0.32%
MORGAN STANLEY PFD	61763E207	30,017	821,865.46	0.32%
CITIGRP CAP V/R 10/30/40 /PFD/	173080201	30,000	813,000.00	0.32%
COOPERATIEVE RABOBA V/R /PERP/	N72778AJ7	753,000	809,475.00	0.32%
REINSURANCE GRP V/R 6/15/56	759351802	28,961	744,008.09	0.29%
JUST ENERGY GROUP INC PFD	48213W200	30,000	708,000.00	0.28%
NISOURCE INC V/R /PERP/	65473PAC9	700,000	695,625.00	0.27%
CITIGROUP INC V/R /PERP/	172967JM4	680,000	686,800.00	0.27%
NY COMMUNITY BANCORP INC PREF	649445202	23,975	651,400.75	0.26%
AGNC INVESTMENT CORP /PFD/	00123Q500	25,000	650,000.00	0.26%
APOLLO MANAGEMENT LLC /PFD/	BG0TLY9	25,339	629,674.15	0.25%
TWO HARBORS INV CORP /PFD/	90187B507	25,000	619,500.00	0.24%
AMERICAN INTL GRO V/R 04/01/48	026874DM6	625,000	617,187.50	0.24%
FARMLAND PARTNERS INC	31154R208	25,000	611,000.00	0.24%

GLOBAL NET LEASE INC /PFD/	379378300	23,490	601,344.00	0.24%
TWO HARBORS INV CORP /PFD/	90187B309	23,000	593,860.00	0.23%
AMERICAN HOMES 4 RENT /PFD/	02665T801	23,626	593,721.38	0.23%
FARM CREDIT BK OF TEXAS /PFD/	30767E406	5,500	591,250.00	0.23%
QWEST CORP 7% 7/1/52 /PFD/	74913G501	25,260	578,454.00	0.23%
BHP BILLITON FIN V/R 10/19/75	055451AX6	500,000	543,500.00	0.21%
SYNOVUS FINANCIAL CORP /PFD/	87161C600	21,018	538,901.52	0.21%
COMPEER FINANCIAL ACA /PFD/	20453Q201	500	537,500.00	0.21%
SOUTHERN CAL EDISON V/R 12/31/49 /PERP/	842400FU2	500,000	532,500.00	0.21%
BANCO MERCANTIL DE V/R /PERP/	05962GAH2	500,000	496,170.00	0.20%
SOCIETE GENERALE V/R /PERP/	83367TBH1	500,000	483,489.50	0.19%
ENBRIDGE INC V/R 01/15/77	29250NAN5	500,000	472,500.00	0.19%
KINDER MORGAN GP INC /PFD/	49455T202	500	465,018.20	0.18%
ENBRIDGE INC /PFD/	29250N477	17,738	446,642.84	0.18%
ASAHI MUTUAL LIFE I V/R /PERP/	BYP5375	400,000	411,993.60	0.16%
UBS GROUP FUNDING S V/R /PERP/	BVXZ4Q2	400,000	406,509.60	0.16%
FARM CREDIT BK OF T V/R /PERP/	30767EAC3	400,000	405,000.00	0.16%
VIVAT NV V/R /PERP/	N9353HAB7	400,000	400,742.00	0.16%
PHOENIX CO 7.45% 1/15/32 PFD	71902E208	21,000	391,125.00	0.15%
URSTADT BIDDLE PROPRTIE /PFD/	917286882	15,000	375,682.50	0.15%
NATIONAL GEN 7.625% 09/15/55	636220873	14,443	369,451.94	0.15%
SLM CORP PREFERRED SERIES B	78442P502	5,130	364,230.00	0.14%
INVESCO MORTGAGE CAPITAL /PFD/	46131B407	13,200	341,088.00	0.13%
COBANK ACB /PFD/	19075Q607	443	299,025.00	0.12%
MEIJI YASUDA LIFE V/R 04/26/48	585270AC5	280,000	283,150.00	0.11%
CITIGROUP INC V/R /PERP/	172967KM2	262,000	272,152.50	0.11%
ENERGY TRANSFER PARTNERS /PFD/	29278N301	10,000	249,900.00	0.10%
NORDEA BANK AB V/R /PERP/	65557CAN3	200,000	196,000.00	0.08%
CHS INC CLASS B SER 4/PFD	12542R803	5,676	159,722.64	0.06%
AMTRUST FINANCIAL 7.5% 9/15/55	032359853	5,383	134,305.85	0.05%
AMTRUST FINANCIAL SERV /PFD/	032359861	4,822	117,608.58	0.05%
BANK OF AMERICA COR V/R /PERP/	060505DR2	64,000	64,351.86	0.03%
COLONY CAPITAL INC /PFD/	19625W500	1,061	26,811.47	0.01%
CHS INC /PFD/	12542R704	726	19,209.96	0.01%

Holdings are subject to change.

\* With respect to the market value of the Fund's holdings set forth above, the pricing sources are generally third-party vendors. The Fund ultimately relies on pricing information provided by the Fund's accounting agent.