**Fund Objective**
This exchange-traded fund seeks investment results that correspond generally to the price and yield of the ISE Clean Edge Global Wind Energy Index.

**Fund Facts**
- **Fund Ticker**: FAN
- **CUSIP**: 33736G106
- **Intraday NAV**: FANIV
- **Fund Inception Date**: 6/6/08
- **Gross Expense Ratio**: 0.68%
- **Net Expense Ratio**: 0.60%
- **Rebalance Frequency**: Semi-Annual
- **Primary Listing**: NYSE Arca

**Index Facts**
- **Index Ticker**: GWETR
- **Index Inception Date**: 6/6/08

**Performance Summary (%)**

<table>
<thead>
<tr>
<th>Fund Performance*</th>
<th>3 Month</th>
<th>YTD</th>
<th>1 Year</th>
<th>3 Year</th>
<th>5 Year</th>
<th>10 Year</th>
<th>Since Fund Inception</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net Asset Value (NAV)</td>
<td>-1.30</td>
<td>16.25</td>
<td>7.58</td>
<td>3.52</td>
<td>6.03</td>
<td>0.19</td>
<td>-5.06</td>
</tr>
<tr>
<td>After Tax Held</td>
<td>-1.47</td>
<td>15.36</td>
<td>6.54</td>
<td>1.84</td>
<td>4.54</td>
<td>-0.76</td>
<td>-5.88</td>
</tr>
<tr>
<td>After Tax Sold</td>
<td>-0.77</td>
<td>9.62</td>
<td>4.47</td>
<td>1.90</td>
<td>3.96</td>
<td>-0.31</td>
<td>-3.92</td>
</tr>
<tr>
<td>Market Price</td>
<td>-0.92</td>
<td>17.22</td>
<td>8.43</td>
<td>3.47</td>
<td>6.21</td>
<td>0.26</td>
<td>-5.03</td>
</tr>
</tbody>
</table>

**Index Performance**
- **ISE Clean Edge Global Wind Energy Index**
  -1.15 | 17.42 | 8.45 | 4.31 | 6.88 | 1.01 | -4.29 |
- **MSCI World Index**
  0.53 | 17.61 | 1.83 | 10.21 | 7.18 | 9.01 | 5.73 |
- **Russell 3000® Index**
  1.16 | 20.09 | 2.92 | 12.83 | 10.44 | 13.08 | 9.36 |

**Calendar Year Total Returns (%)**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>MSCI World Index</td>
<td>29.99</td>
<td>11.76</td>
<td>-5.54</td>
<td>15.83</td>
<td>26.68</td>
<td>4.94</td>
<td>-0.87</td>
<td>7.51</td>
<td>22.40</td>
<td>-8.71</td>
</tr>
<tr>
<td>Russell 3000® Index</td>
<td>28.34</td>
<td>16.93</td>
<td>1.03</td>
<td>16.42</td>
<td>33.55</td>
<td>12.56</td>
<td>0.48</td>
<td>12.74</td>
<td>21.13</td>
<td>-5.24</td>
</tr>
</tbody>
</table>

**3-Year Statistics**

<table>
<thead>
<tr>
<th>Standard Deviation (%)</th>
<th>Alpha</th>
<th>Beta</th>
<th>Sharpe Ratio</th>
<th>Correlation</th>
</tr>
</thead>
<tbody>
<tr>
<td>FAN</td>
<td>14.95</td>
<td>-4.89</td>
<td>0.69</td>
<td>0.20</td>
</tr>
<tr>
<td>MSCI World Index</td>
<td>11.31</td>
<td>-1.08</td>
<td>0.87</td>
<td>0.78</td>
</tr>
<tr>
<td>Russell 3000® Index</td>
<td>12.54</td>
<td>—</td>
<td>1.00</td>
<td>0.90</td>
</tr>
</tbody>
</table>

*Performance data quoted represents past performance. Past performance is not a guarantee of future results and current performance may be higher or lower than performance quoted. Investment returns and principal value will fluctuate and shares when sold or redeemed, may be worth more or less than their original cost. You can obtain performance information which is current through the most recent month-end by visiting www.ftportfolios.com.

**Footnotes:**
* Expenses are capped contractually at 0.60% per year, at least until January 31, 2020.
* NAV returns are based on the fund’s net asset value which represents the fund’s net assets (assets less liabilities) divided by the fund’s outstanding shares. After Tax Held returns represent return after taxes on distributions. Assumes shares have not been sold. After Tax Sold returns represent the return after taxes on distributions and the sale of fund shares. Returns do not represent the returns you would receive if you traded shares at other times. Market Price returns are based on the midpoint of the bid/ask spread on the stock exchange on which shares of the fund are listed for trading as of the time that the fund’s NAV is calculated. Returns are average annualized total returns, except those for periods of less than one year, which are cumulative. The fund’s performance reflects fee waivers and expense reimbursements, absent which performance would have been lower. After-tax returns are calculated using the historical highest individual federal marginal income tax rates and do not reflect the impact of state and local taxes. Actual after-tax returns depend on the investor’s tax situation and may differ from those shown. The after-tax returns shown are not relevant to investors who hold their fund shares through tax-deferred arrangements such as 401(k) plans or individual retirement accounts.

**Performance information for the ISE Clean Edge Global Wind Energy Index is for illustrative purposes only and does not represent actual fund performance. Indexes do not charge management fees or brokerage expenses, and no such fees or expenses were deducted from the performance shown. Indexes are unmanaged and an investor cannot invest directly in an index.**
First Trust Global Wind Energy ETF

**Portfolio Information**

- Number Of Holdings: 43
- Maximum Market Cap.: $111.63 Billion
- Minimum Market Cap.: $169 Million
- Price/Share: 16.60
- Price/Book: 1.85
- Price/Cash Flow: 8.34
- Price/Sales: 1.15

**Top Holdings (%)**

- Vestas Wind Systems A/S: 8.17
- Northland Power, Inc.: 7.82
- Siemens Gamesa Renewable Energy: 7.71
- Orsted A/S: 7.52
- Pattern Energy Group Inc.: 5.67
- Boralex Inc.: 4.14
- China Longyuan Power Group Corporation Ltd.: 3.95
- The Renewables Infrastructure Group Limited: 3.80
- Nordex SE: 2.29
- Xinjiang Goldwind Science & Technology Co., Ltd.: 2.27

**Top Sector Exposure (%)**

- Utilities: 58.52
- Industrials: 39.29
- Materials: 2.11
- Energy: 0.08

**Top Country Exposure (%)**

- United States: 17.89
- Denmark: 15.75
- Canada: 12.21
- Spain: 11.76
- Germany: 8.53
- China: 6.32
- Japan: 6.07
- Guernsey: 3.81
- Italy: 3.12
- Switzerland: 2.39

---

**ETF Characteristics**

The fund lists and principally trades its shares on the NYSE Arca, Inc. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. Securities held by the fund will generally not be bought or sold in response to market fluctuations. Investors buying or selling fund shares on the secondary market may incur customary brokerage commissions. Market prices may differ to some degree from the fund’s net asset value.

**Risk Considerations**

The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index.

**Definitions**

- Standard Deviation is a measure of price variability (risk).
- Alpha is an indication of how much an investment outperforms or underperforms on a risk-adjusted basis relative to its benchmark.
- Beta is a measure of price variability relative to the market.
- Sharpe Ratio is a measure of excess reward per unit of volatility.
- Correlation is a measure of the similarity of performance.

**Portfolio Information**

- Number Of Holdings: 43
- Maximum Market Cap.: $111.63 Billion
- Minimum Market Cap.: $169 Million
- Price/Share: 16.60
- Price/Book: 1.85
- Price/Cash Flow: 8.34
- Price/Sales: 1.15

**Top Holdings (%)**

- Vestas Wind Systems A/S: 8.17
- Northland Power, Inc.: 7.82
- Siemens Gamesa Renewable Energy: 7.71
- Orsted A/S: 7.52
- Pattern Energy Group Inc.: 5.67
- Boralex Inc.: 4.14
- China Longyuan Power Group Corporation Ltd.: 3.95
- The Renewables Infrastructure Group Limited: 3.80
- Nordex SE: 2.29
- Xinjiang Goldwind Science & Technology Co., Ltd.: 2.27

**Top Sector Exposure (%)**

- Utilities: 58.52
- Industrials: 39.29
- Materials: 2.11
- Energy: 0.08

**Top Country Exposure (%)**

- United States: 17.89
- Denmark: 15.75
- Canada: 12.21
- Spain: 11.76
- Germany: 8.53
- China: 6.32
- Japan: 6.07
- Guernsey: 3.81
- Italy: 3.12
- Switzerland: 2.39

---

**ETF Characteristics**

The fund lists and principally trades its shares on the NYSE Arca, Inc. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. Securities held by the fund will generally not be bought or sold in response to market fluctuations. Investors buying or selling fund shares on the secondary market may incur customary brokerage commissions. Market prices may differ to some degree from the fund’s net asset value.

**Risk Considerations**

The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index.

**Definitions**

- Standard Deviation is a measure of price variability (risk).
- Alpha is an indication of how much an investment outperforms or underperforms on a risk-adjusted basis relative to its benchmark.
- Beta is a measure of price variability relative to the market.
- Sharpe Ratio is a measure of excess reward per unit of volatility.
- Correlation is a measure of the similarity of performance.

**Portfolio Information**

- Number Of Holdings: 43
- Maximum Market Cap.: $111.63 Billion
- Minimum Market Cap.: $169 Million
- Price/Share: 16.60
- Price/Book: 1.85
- Price/Cash Flow: 8.34
- Price/Sales: 1.15

**Top Holdings (%)**

- Vestas Wind Systems A/S: 8.17
- Northland Power, Inc.: 7.82
- Siemens Gamesa Renewable Energy: 7.71
- Orsted A/S: 7.52
- Pattern Energy Group Inc.: 5.67
- Boralex Inc.: 4.14
- China Longyuan Power Group Corporation Ltd.: 3.95
- The Renewables Infrastructure Group Limited: 3.80
- Nordex SE: 2.29
- Xinjiang Goldwind Science & Technology Co., Ltd.: 2.27

**Top Sector Exposure (%)**

- Utilities: 58.52
- Industrials: 39.29
- Materials: 2.11
- Energy: 0.08

**Top Country Exposure (%)**

- United States: 17.89
- Denmark: 15.75
- Canada: 12.21
- Spain: 11.76
- Germany: 8.53
- China: 6.32
- Japan: 6.07
- Guernsey: 3.81
- Italy: 3.12
- Switzerland: 2.39

---

**ETF Characteristics**

The fund lists and principally trades its shares on the NYSE Arca, Inc. The fund’s return may not match the return of the ISE Clean Edge Global Wind Energy Index. Securities held by the fund will generally not be bought or sold in response to market fluctuations. Investors buying or selling fund shares on the secondary market may incur customary brokerage commissions. Market prices may differ to some degree from the fund’s net asset value.

**Risk Considerations**

The fund’s shares will change in value, and you could lose money by investing in the fund. One of the principal risks of investing in the fund is market risk. Market risk is the risk that a particular stock owned by the fund, fund shares or stocks in general may fall in value. There can be no assurance that the fund’s investment objective will be achieved. The fund may invest in small capitalization and mid-capitalization companies. Such companies may experience greater price volatility than larger, more established companies. The fund may invest in securities issued by companies concentrated in a particular country which involves additional risks including limited diversification.

An investment in a fund containing securities of non-U.S. issuers is subject to additional risks, including currency fluctuations, political risks, withholding, the lack of adequate financial information, and exchange control restrictions impacting non-U.S. issuers. The fund may invest in depositary receipts which may be less liquid than the underlying shares in their primary trading market. The fund contains the securities of companies in the wind energy, utility and industrial sectors, among others. Wind energy companies can be significantly affected by obsolescence of existing technology, short product cycles, falling prices and profits, competition from new market entrants and general economic conditions. This can be significantly affected by fluctuations in energy prices and supply and demand of alternative energy fuels, energy conservation, and government regulations.

Industrials companies are subject to certain risks, including the general state of the economy, intense competition, consolidation, domestic and international politics, excess capacity and consumer demand and spending trends. They may also be significantly affected by overall capital spending levels, economic cycles, technical obsolescence, delays in modernization, labor relations, and government regulations.

Utilities companies are subject to imposition of rate caps, increased competition, difficulty in obtaining an adequate return on invested capital or in financing large construction projects, limitations on operations and increased costs attributable to environmental considerations and the capital market’s ability to absorb utility debt. Utilities companies may also be affected by taxes, government regulation, international politics, price and supply fluctuations, volatile interest rates and energy conservation.

Changes in currency exchange rates and the relative value of non-US currencies may affect the value of a fund’s investments and the value of a fund’s shares. The fund is classified as “non-diversified” and may invest a relatively high percentage of its assets in a limited number of issuers. As a result, the fund may be more susceptible to a single adverse economic or regulatory occurrence affecting one or more of these issuers, experience increased volatility and be highly concentrated in certain issuers.

First Trust Advisors L.P. is the adviser to the fund. First Trust Advisors L.P. is an affiliate of First Trust Portfolios L.P., the fund’s distributor.

The information presented is not intended to constitute an investment recommendation for, or any other regulatory framework. Financial advisors are responsible for evaluating whether investments are appropriate for their clients.

**Definitions**

- Standard Deviation is a measure of price variability (risk).
- Alpha is an indication of how much an investment outperforms or underperforms on a risk-adjusted basis relative to its benchmark.
- Beta is a measure of price variability relative to the market.
- Sharpe Ratio is a measure of excess reward per unit of volatility.
- Correlation is a measure of the similarity of performance.

The MSCI World Index is a free float-adjusted market capitalization weighted index that is designed to measure the equity market performance of 23 developed markets around the world. The Russell 3000® Index is comprised of the 3000 largest and most liquid stocks based and traded in the U.S.